



**PATENT**

• #3 Sectors

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Schmid et al.

Serial No. 09/821,754

Art Unit: 2131

Filed: March 30, 2001

Examiner: Unknown

## SYSTEM AND METHOD FOR DEFENDING AGAINST MALICIOUS SOFTWARE

Assistant Commissioner of Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED**

Dear Sir:

Pursuant to 37 C.F.R. 1.53(f), counsel for Applicants enclose herewith:

- 1) Copy of Notice to File Missing Parts - Filing Date Granted; and
- 2) Declaration and Power of Attorney for Patent Application signed by Matthew N.

Schmid, John Thomas Bloch, Frank F. Hill and Anup K. Ghosh:

Attached hereto is our check in the amount of \$420.00 to cover the required fees. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

Respectfully submitted,

  
\_\_\_\_\_  
Michele M. Burris  
Reg. No. 44,576  
Shaw Pittman  
1650 Tysons Boulevard  
McLean, Virginia 22102  
(703) 770-7661

Attachments  
Date: June 27, 2001  
Case No.: CIG-101

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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#9

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,754	03/30/2001	Matthew N. Schmid	CIG-101

CONFIRMATION NO. 5170

## FORMALITIES LETTER



\*OC000000006060820\*

SHAW PITTMAN  
 1650 Tysons Boulevard  
 McLean, VA 22102

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

BEST AVAILABLE COPY

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 420.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/03/2001 BNGUYEN1 00000041 09821754

01 FC:201  
 02 FC:205

355.00 OP  
 65.00 OP